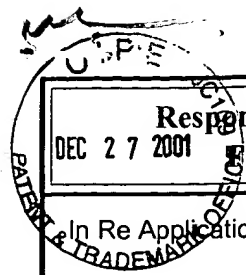


SECTOR \$



<b>Response To Notice To File Missing Parts Of Application</b> DEC 27 2001 <b>Filing Date Granted (PTO-1533)(Small Entity)</b>	<b>Docket No.</b> PES-0043
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In Re Application Of: JASON K. SHIEPE et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/965,630	September 27, 2001		

Invention: **METHOD AND APPARATUS FOR IMPROVED FLUID FLOW WITHIN AN ELECTROCHEMICAL CELL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on October 25, 2001  
*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

**Postcard Receipt**

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**PES-0043**

In Re Application Of: **JASON K. SHIEPE et al.**

Serial No.  
09/965,630

Filing Date  
September 27, 2001

**Examiner**

## Group Art Unit

**Invention: METHOD AND APPARATUS FOR IMPROVED FLUID FLOW WITHIN AN ELECTROCHEMICAL CELL**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$370.00
--------------------------------------------------------------------	----------

☐ Design application filing fee

<input checked="" type="checkbox"/> Total number of independent claims =	7	\$168.00
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☒ Total number of claims = **51** **\$279.00**

☐ Multiple dependent claims

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<b>\$65.00</b>
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☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification☐ Fee for processing and retention of application

Total completion of application fees	\$882.00
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This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

**Total fees due                      \$882.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**PES-0043**

In Re Application Of: **JASON K. SHIEPE et al.**

Serial No.  
**09/965,630**

Filing Date  
**September 27, 2001**

Examiner

Group Art Unit

Invention: **METHOD AND APPARATUS FOR IMPROVED FLUID FLOW WITHIN AN  
ELECTROCHEMICAL CELL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$882.00** is to be paid as follows:

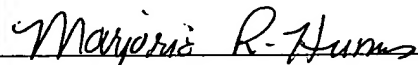
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **06-1130**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **06-1130**  
A duplicate copy of this sheet is enclosed.

  
Signature

**Leah M. Reimer**  
**Registration No. 39,341**  
**Customer No. 23413**

Dated: **November 2, 2001**

I certify that this document and fee is being deposited  
on **November 2, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

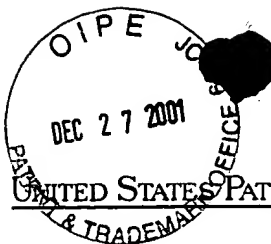


Signature of Person Mailing Correspondence

**Marjorie R. Humes**

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,630	09/27/2001	Jason K. Shiepe	PES-0043

CONFIRMATION NO. 2487

23413  
CANTOR COLBURN, LLP  
55 GRIFFIN ROAD SOUTH  
BLOOMFIELD, CT 06002

## FORMALITIES LETTER



\*OC000000006971470\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$439.
  - \$279 for 31 total claims over 20.
  - \$160 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 859.

12/28/2001 MMHANN1 00000069 09965630

01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205

370.00 OP  
279.00 OP  
160.00 OP  
65.00 OP

Copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE